Company Tracking Number: AIC-07-EO-28

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1019 Professional Errors & Omissions

Liability

Product Name: Architects & Engineers Professional Liability Program

Project Name/Number: /AIC-07-EO-28

Filing at a Glance

Company: New Hampshire Insurance Company

Product Name: Architects & Engineers SERFF Tr Num: AGNY-125397801 State: Arkansas

Professional Liability Program

TOI: 17.1 Other Liability - Claims Made Only SERFF Status: Closed State Tr Num: EFT \$50

Sub-TOI: 17.1019 Professional Errors & Co Tr Num: AIC-07-EO-28 State Status: Fees verified and

Omissions Liability received

Filing Type: Form Co Status: Reviewer(s): Betty Montesi, Edith

Roberts, Brittany Yielding

Author: Janine Graham Disposition Date: 12/31/2007

Date Submitted: 12/27/2007 Disposition Status: Approved

Effective Date Requested (New): 01/29/2008 Effective Date (New):

Effective Date Requested (Renewal): 01/29/2008 Effective Date (Renewal):

State Filing Description:

General Information

Project Name: Status of Filing in Domicile: Pending

Project Number: AIC-07-EO-28 Domicile Status Comments: This filing is

simultaneously being submitted countrywide.

Reference Organization: N/A Reference Number: N/A Advisory Org. Circular: N/A

Filing Status Changed: 12/31/2007

State Status Changed: 12/31/2007 Deemer Date:

Corresponding Filing Tracking Number:

Filing Description:

New Hampshire Insurance Company (the "Company") currently has on file with your Department its Architects and Engineers Professional Liability Program (the "Program"). The Company submits for your review and approval its revised Application for Architects and Engineers Professional Liability Policy (Claims Made Coverage) – Form No. 87126 (12/07) to be used with the Architects and Engineers Professional Liability Policy – Form No. 84002 (2/05).

Company Tracking Number: AIC-07-EO-28

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1019 Professional Errors & Omissions

Liability

Product Name: Architects & Engineers Professional Liability Program

Project Name/Number: /AIC-07-EO-28

Please refer to the attached blackline to see revisions made to the previous version.

Company and Contact

Filing Contact Information

Janine Graham, Filings Analyst Janine.Graham@AlG.com 175 Water Street (212) 458-7463 [Phone] New York, NY 10038 (212) 458-7077[FAX]

Filing Company Information

New Hampshire Insurance Company CoCode: 23841 State of Domicile: Pennsylvania

70 Pine Street Group Code: Company Type:
New York, NY 10270 Group Name: State ID Number:

(212) 770-7000 ext. [Phone] FEIN Number: 02-0172170

Filing Fees

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? No

Fee Explanation: (\$50.00 X 1 Form Filing) X 1 Group = \$50.00

Per Company: No

COMPANY AMOUNT DATE PROCESSED TRANSACTION #

New Hampshire Insurance Company \$50.00 12/27/2007 17255575

Company Tracking Number: AIC-07-EO-28

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1019 Professional Errors & Omissions

Liability

Product Name: Architects & Engineers Professional Liability Program

Project Name/Number: /AIC-07-EO-28

Correspondence Summary

Dispositions

| Status | Created By | Created On | Date Submitted |
|----------|---------------|------------|----------------|
| Approved | Edith Roberts | 12/31/2007 | 12/31/2007 |

Company Tracking Number: AIC-07-EO-28

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1019 Professional Errors & Omissions

Liability

Product Name: Architects & Engineers Professional Liability Program

Project Name/Number: /AIC-07-EO-28

Disposition

Disposition Date: 12/31/2007

Effective Date (New): Effective Date (Renewal):

Status: Approved

Comment: Approval basis upon compliance with AID Order 94-253 which requires the minimum limit of \$500,000 or

greater and a signed consent order when coverage contains defense within the limits of liability.

(Exemption from AR Code Anno. 23-79-307 (5) (A).)

Rate data does NOT apply to filing.

Coverage)

Company Tracking Number: AIC-07-EO-28

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1019 Professional Errors & Omissions

Liability

Product Name: Architects & Engineers Professional Liability Program

Project Name/Number: /AIC-07-EO-28

Item Type Item Name Item Status Public Access Uniform Transmittal Document-Property & Approved Yes **Supporting Document** Casualty Form Listing Approved Yes **Supporting Document** Application for Architects and Engineers Approved Yes **Form** Professional Liability Policy (Claims Made

Created by SERFF on 12/31/2007 01:47 PM

Company Tracking Number: AIC-07-EO-28

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1019 Professional Errors & Omissions

Liability

Product Name: Architects & Engineers Professional Liability Program

Project Name/Number: /AIC-07-EO-28

Form Schedule

| Review | Form Name | Form # | Edition | Form Type Action | Action Specific Readability | Attachment |
|----------|------------------|--------|---------|----------------------|-----------------------------|---------------|
| Status | | | Date | | Data | |
| Approved | Application for | 87126 | 12/07 | Application/Replaced | Replaced Form #:0.00 | 87126 (12- |
| | Architects and | | | Binder/Enro | 87126 (12/04) | 07) AE |
| | Engineers | | | Ilment | Previous Filing #: | Application.p |
| | Professional | | | | AIC-04-EO-23 | df |
| | Liability Policy | | | | | |
| | (Claims Made | | | | | |
| | Coverage) | | | | | |



NEW HAMPSHIRE INSURANCE COMPANY

70 Pine Street New York, NY 10270 (212) 770-7000

APPLICATION FOR ARCHITECTS AND ENGINEERS PROFESSIONAL LIABILITY POLICY (CLAIMS MADE COVERAGE)

APPLICANT INSTRUCTIONS:

Please type or print in ink.

A. APPLICANT INFORMATION

- b. Answer all questions: leave no blank spaces.
- If space provided is not sufficient to answer all questions fully, attach separate sheet and label appropriately. C.
- This application must be signed and dated by the Owner if Applicant is a Sole Proprietorship, a Partner, if Applicant is a Partnership, or Authorized Officer if Applicant is a Corporation.

NOTE: The insurance for which you are applying is written on a CLAIMS MADE POLICY. Only claims which are first made against you and reported to the company during the policy period are covered subject to policy provisions. "Claim" means any demand for money or services, including but not limited to the service of suit or the institution of arbitration proceedings against you.

The LIMITS OF LIABILITY stated in the Policy are reduced by CLAIM EXPENSES. CLAIM EXPENSES are also applied against your deductible or self insured retention, if applicable to the claim. If you have any questions about coverage, please discuss them with your insurance broker.

SECTION I: INSTANT INDICATION

1. Name of Applicant: (If partnership or corporation, show firm) DBA: 3. Address: _ CITY STATE ZIP CODE 4. Contact Name: _____ Phone: ____ Fax: ____ Email: Website: 5. Business Type (Partnership, Corp, etc.): _____ FEIN # ____

B. SHORT FORM ELIGIBILITY (ALL QUESTIONS IN SECTION B ARE MANDATORY) - If either Q#1 or #2 is YES, Q#5 Total Incurred is less than \$25,000, Q#6 is NO and all others are YES, you qualify for the Short Form application. Please proceed through Subsection F, recognizing that an entry in a double asterisked ** field will generate a referral to an underwriter. If Q#5 is \$25,000 or more, please complete Subsection K. All others, please complete the entire application.

Expiration Date _____

6. Proposed Effective Date _____

| 1. | If yes, please provides State abbreviation(s): |
|-----|--|
| 2. | Is a principal in the applicant's firm an interior designer or landscape architect? Yes No Please provide a brief Description of Operation: (i.e. Architecture, Civil Engineering, Interior Design, Structural Engineering, etc.) |
| 3. | Is the applicant's firm in private practice? Yes No |
| 4. | Did the applicant's firm have billings less than \$1,000,000 in their last fiscal year? Yes No Total Gross Billings: \$ (If new business or firm, please provide the estimated Annual gross billings) |
| 5. | Please indicate applicant's claim information for the past 10 years: Total # of Claims: Total Incurred: \$ |
| 6. | After inquiry, is the applicant, any predecessors in business or any other person for whom coverage is requested aware of any act, error or omission or circumstance which may result in a claim being made against them but which has not yet been reported to a professional liability carrier? Yes No |
| | If yes, please provide a statement giving full details |
| | |
| | |
| 7. | Does the applicant's firm have less than 25 staff members (full & part time)? Yes No |
| 8. | Does the applicant procure certificates of insurance from their subconsultants for limits of at least \$1MM? |
| | Yes No Consultants not used (Answers of "Yes" or "Consultants not used" are required to proceed for a quote) |
| | Please indicate with a checkmark any, or all, risk management tools your firm uses: |
| | Written contracts are used 100% of the time |
| | AIA or EJCDC forms are used at least 70% of the time |
| | Limitation of Liability clauses are included at least 70% of the time |
| | Membership in professional organizations |
| | Written in-house quality control procedures |
| | Continuing Education program for professional employees |
| | Peer Review Program |
| 9. | Is it true that no member of the applicant's firm (staff or principal) has ever had their Professional Liability policy cancelled or not renewed by an insurance company (except for non payment of premium) Yes No |
| 10. | Did less than 20% of the applicant's (plus any subsidiaries, parent or other related entities) total billings from the past fiscal year result from actual construction or erection? Yes No |
| 11. | What year was the applicant's firm established? |
| 87 | 126 (12/07) Page 2 of 13 |

C. PROFESSIONAL DISCIPLINES / PROJECTS / SERVICES

List all professional activities and services provided and their respective approximate percentage of previous year's gross revenue entered on the Operations page (TOTAL MUST EQUAL 100%):

Please describe in detail the professional activities for which coverage is desired, begin with the primary professional activity.

DISCIPLINES (TOTAL MUST EQUAL 100%):

| Discipline: | Percent: | Discipline: | Percent: |
|--|-------------|--|----------|
| Acoustical Engineering | % | Interior Design | % |
| Architecture | % | Laboratory Testing** | % |
| Asbestos Inspection, Testing or Abatement Design:** | % | Land Surveying | % |
| Chemical Engineering: ** | % | Landscape Architecture | % |
| Chemical Engineering (Coal, Gas, Oil) ** | % | Machine Equipment Design** | % |
| Civil Engineering (incl. Traffic/Transportation Water/Wastewater) | % | Mechanical Engineering incl. Plumbing Design | % |
| Communication Engineering Construction Inspection Construction/Project Management At Risk ** | % % % | Mining Engineering** | % |
| Construction/Project Management/Agency ** | % | Naval/Marine Engineering** | % |
| Drafting / Drawing / CAD | % | Planning – Space/Land/Master | % |
| Electrical Engineering (incl. Illumination/Lighting Design Excl. Utilities/Powerplants & Heavy Industrial) | % | Process Engineering Gas/Oil** | % |
| Environmental Engineering ** Environmental Real Estate Audits | % | Process Engineering** | % |
| Environmental Remediation Design/Specifications** | % % | Soil/Geotech Engineering** | % |
| Environmental Risk Assessment and Permitting ** | % | Structural Engineering | % |
| Feasibility Studies Applicant not resulting in construction | n % | Value/Quality Engineering | % |
| Fire Protection Engineering | % | | |
| Forensic Activities / Expert Testimony | % | | |
| HVAC Engineering | % | | |
| Hydrology/Geology | % | | |

PROJECTS (TOTAL MUST EQUAL 100%):

| Project Type: | Percent: | Project Type: | Percent: |
|--|----------|----------------------------------|----------|
| Airports | % | Military Nuclear Facilities** | % % |
| Amusement Rides** | % | Office Buildings | % |
| Apartment | % | Parking Structures | % |
| Auditoriums / Theaters | % | Parks / Playgrounds | % |
| Bridges : | % | Petrochemical/Refineries** | % |
| Churches | % | Pools** | % |
| Commercial Buildings excluding Condos or Apartments | % | Power Plants / Utilities | % |
| Condominiums** | % | Recreation | % |
| Convention Centers | % | Restaurants / Food Services | % |
| Custom Residential | % | Roads/Highways | % |
| Dams** | | Schools/Colleges | % |
| | | Sewer Systems | % |
| Environmental Impact Statements | % | Sewage Treatment Plants | % |
| Foundation or Shoring Projects** | % | Shopping Centers/Retail | % |
| Forensic / Expert | % | Site Development | % |
| Golf Courses | % | Sports Stadiums | % |
| Harbors/Piers/Ports/Marinas** | % | Superfund/Pollution** | ^% |
| Hospitals/Healthcare | % | · | |
| Hotels/Motels | % | Surveying | % |
| Industrial Waste Treatment** | % | Tract Homes/Subdivisions | % |
| Jails/Justice | % | Traffic Planning | % |
| Landfills** | % | Tunnels** | % |
| Libraries | % | Warehouses | % |
| | | Water systems | % |
| Machinery & Equipment ** | % | | |
| Manufacturing/Industrial Buildings | % | | |
| Mass Transit | % | | |
| Material Handling Systems** PROJECTS (continued) | % | | |

| Mine | es**% | |
|------|---|----------|
| Mun | nicipal/Community/Public Buildings% | |
| SER | RVICES (TOTAL MUST EQUAL 100%): | |
| | vice: nceptual Design | Percent: |
| Con | nstruction Observation Without Design: | % |
| Con | nstruction/Project Management: | % |
| Con | nsulting – Not Resulting in Design | % |
| Desi | sign And Observation: | % |
| Desi | sign Without Observation: | % |
| Dev | velopment, Sale or Leasing of Computer Software to Others:** | % |
| Feas | sibility Studies/Planning/Reports: | % |
| Insp | ensic Activities / Expert Testimony pection/Certification pection of Home/Commercial Property for Prospective Buyers or Lenders:** | % % |
| | pection Services on Existing Structures**: | % |
| Man | nufacture, Sale or Distribution of Any Product or Process:** | % |
| Perc | c Testing | % |
| Plan | n Checking | % |
| Sub | osurface Soil Testing excl. Perc Testing | % |
| | veying, Planning, Plotting , Mapping, Flood Plain Studies, astruction Studies, Boundary Surveys, etc. | % |
| POL | LICY LIMITS | |
| Req | quested Limit: \$/\$ Requested Deductible: \$ | |
| 1. | Does the applicant currently have Professional Liability coverage? Yes No | |
| | a. If Yes, does the applicant have Full Prior Acts coverage? Yes No b. If No, what is the prior acts date on the applicant's current policy? | |

E. OPERATIONS

D.

| | 1. | . Does the Applicant or any subsidiary, parent or othe Yes No | rwise related entity | / engage in actual c | onstruction erection? |
|----|----|--|--|----------------------|-----------------------|
| | 2. | . Does the Applicant or subsidiary, parent or otherwis real estate development? Yes No If Yes , p | | | |
| | 3. | . Domestic Operations Total Gross Billings Most Reco | ently Completed Fi | scal Year: | |
| | | Joint Venture Projects Applicant's Portion Only: Projects Insured Under Separate Project Policies: Projects Which Have Been Permanently Abandoned Feasibility Studies, Master Plans, Reports: Direct Reimbursables: All Other Billings: | \$ | | - - - - - |
| | | OR— | | | |
| | | Total Gross Billings: | \$ | <u> </u> | _ |
| | | For Joint Venture Projects, Projects Insured Under S Currently Abandoned please provide the name, local engaged in projects located outside the United State such projects including gross billings as described a | ation and current st es, its territories or | atus of each project | . If the Applicant is |
| F. | Ta | axes and Fees – Please complete the following if y | ou seek a "non-a | dmitted" quote: | |
| | 1. | Name of Surplus Lines Filer: | | | |
| | 2. | . S/L License # | | | |
| | 3. | Address:STREET | CITY | STATE | ZIP CODE |
| | | SECTION II: COMF | PLETE APPLICAT | ION | |
| G. | ΑP | PPLICANT'S PRACTICE | | | |
| | | During the past five years has the name of the five or any merger or consolidation taken place? Yes If Yes, please provide full details, including date. | s No | • | - |
| | | | | | |
| | | Is the Applicant controlled, owned or associated corporation or company? Yes No If Ye please provide: | | | |
| | | | | | |
| | | Description of Operation: | | | |
| | | Does the Applicant have a membership in a Prothe Professional Associations: | fessional Organiza | ation? Yes No _ | If Yes, please list |

| | 5. | . Number of Tot | al Staff: | | | | | |
|----|--|---|--|--|---|--|----------------------------|--|
| | | Principals, Par | rtners, Offic | cers and Directors: | | | | |
| | | | | urveyors, Site Represer raftsmen and other Ted | | el: | | |
| | | Clerical and A | ccounting l | Employees: | | | | |
| | 6. States in Which Professional License is held: | | | | | | | |
| | 7. | . Is Foreign Wo | rk greater t | :han 25%? Yes No | o If Yes, ple | ease give full details: | | |
| | 8. | a result of thei | r professio | nal activities? Yes | No If Yes, | n subject to disciplinary action be please give full details: | | |
| | 9. | . Type of Contra | act Used (E | Enter percentage amou | ınts): | | | |
| | | AIA or EJCDC |): | | % | | | |
| | | Client Drafted | Agreemen | t | % | | | |
| | | Firms Standar | d Form (at | tach copy) | % |) | | |
| | | Letter Agreem | ent (firm o | r client drafted): | % | | | |
| Н. | APPL | .ICANT'S PRAC | TICE 2 | | | | | |
| | 1. T | YPES OF CLIEN | TS: | | | | | |
| | С | ommercial | % | Federal Government | % | Real Estate Developers | % | |
| | С | ontractors | % | State Government | % | Individual Owners: | % | |
| | | ther Design rofessionals | % | Local Government | % | Others | % | |
| | In | stitutional | % | Industrial | % | If Others, please describe: | | |
| | S Y ho | hareholder or an es No If only olding an owners | immediate Yes , pleas hip interes | family member of sucle e attach a complete de t and the amount of ow | h person retains scription of the property of | ch any Principal, Officer, Direct s an ownership interest of great project, specifically identify all in olds. any governmental body? Yes_ | er than 25%? ndividuals | |
| | | | | | | | | |

| I. | RISK M | ANAGEMENT | | | | | | | |
|----|----------|--|--------------------------------------|------------------------------------|--|--|--|--|--|
| | | es any one contract or client represent more than 5 details: | | | | | | | |
| | 2. In-h | ouse continuing education for professionals? Yes | No | | | | | | |
| | 3. Pee | 3. Peer review Program? Yes No | | | | | | | |
| | | . Are all contracts/ agreements / purchase orders reviewed by applicant's legal counsel before they are executed? Yes No If Yes, please explain: | | | | | | | |
| J. | RISK IN | IFORMATION | | | | | | | |
| | 1. Gro | ss Billings and Construction Values | Most Recently Completed Fiscal Year: | Previous Completed Fiscal Year: | | | | | |
| | Joint Ve | enture Projects: | \$ | \$ | | | | | |
| | Projects | Insured Under Separate Project Policies: | \$ | \$ | | | | | |
| | Projects | Which Have Been Permanently Abandoned: | \$ | \$ | | | | | |
| | Feasibil | ity Studies, Master Plans, Reports: | \$ | \$ | | | | | |
| | Direct R | teimbursables: | \$ | \$ | | | | | |
| | OR | | | | | | | | |
| | Total Gr | ross Billings: | \$ | \$ | | | | | |
| | 2. Des | sign/Build – Construct Values | Most Recently Completed Fiscal Year: | Previous Completed Fiscal Year: | | | | | |
| | All Oper | rations: | \$ | \$ | | | | | |
| | Design/ | Construct: | \$ | \$ | | | | | |
| | Design | Only – No Construction: | \$ | \$ | | | | | |

3. Please provide an attachment for the three (3) largest projects within the last five years. Attachment should include the following details: (1) name of project; (2) type of structure; (3) services performed; (4) construction values.

Construction Only - No Design

K. CLAIM HISTORY

| 1. | Claims History: | |
|-----|---|---|
| | | er of claims and the total aggregate amount incurred (indemnity and expense) for alars or the total number of years in operation if this is less than 5 years. |
| | Total Claims: | Total Aggregate: |
| 2. | Please provide the information | n below for all losses over \$10,000 (indemnity and expense): |
| | Date of Loss: | |
| | Date Reported: | |
| | Full Name of Claimant: | |
| | Description: | |
| | | |
| | Current Status: | |
| | Incurred Amount Including Re | serve: \$ |
| | Defendant's offer to Settle (if | Open): \$ |
| | aware of any act, error, omiss but which has not yet been re giving full details. | any predecessors in business, or any other person for whom coverage is requested ion or circumstance which may possibly result in a claim being made against them ported to a professional liability carrier? Yes No If Yes , attach a statement |
| 4. | | cessor in business or any other person form whom coverage is requested ever sumstance to a professional liability carrier? Yes No If Yes , attach a |
| INS | SURANCE HISTORY | |
| 1. | Please detail present Architec | ts and Engineers Professional Liability Insurance Coverage: |
| | Insurance Company: | |
| | Policy Number: | |
| | Limits: | |
| | Deductible: | |
| | | |

L.

| Insurance Company | Policy Number | Limits | Deductible | Policy Period |
|---|--|---|---|---|
| | | | | |
| | | | | |
| | purchased an extended redate purchased and term of | | | |
| If Yes , please provide of Has any application for predecessors in business. | | of endorsement: Professional Lia er been declined ED NOT REPLY | bility Insurance mor has the insura | nade on behalf of the fire nce ever been cancelle If Yes , please provide |
| If Yes , please provide of Has any application for predecessors in busine renewal refused? MISS | date purchased and term of Architects and Engineers ass or present partners ever appears NEE | of endorsement: Professional Lia er been declined ED NOT REPLY | bility Insurance mor has the insura | nade on behalf of the fire nce ever been cancelled If Yes , please provide |
| If Yes , please provide of Has any application for predecessors in busine renewal refused? MISS Date UNINTERRUPTE Is the Applicant current | date purchased and term of Architects and Engineers as or present partners ever SOURI APPLICANTS NEE | Professional Lia er been declined ED NOT REPLY dd/yy): | bility Insurance n or has the insura Yes No | nade on behalf of the fire nce ever been cancelled If Yes , please provide |

- a. Copy of the firm's brochure/resumes
- b. Copy of the firm's latest financial statement, annual report or 10-K

IMPORTANT NOTICE

IN GRANTING COVERAGE TO ANY OF THE INSUREDS. THE INSURER HAS RELIED UPON THE DECLARATIONS AND STATEMENTS IN THIS APPLICATION FOR COVERAGE. ALL SUCH DECLARATIONS AND STATEMENTS ARE THE BASIS OF COVERAGE AND SHALL BE CONSIDERED INCORPORATED IN AND CONSTITUTING PART OF THE POLICY SHOULD ONE BE ISSUED.

ALL WRITTEN STATEMENTS AND MATERIALS FURNISHED TO THE COMPANY SUBMITTED IN CONJUNCTION WITH THIS APPLICATION ARE HEREBY INCORPORATED BY REFERENCE INTO THIS APPLICATION AND MADE A PART HEREOF. NOTHING CONTAINED HEREIN OR INCORPORATED HEREIN BY REFERENCE SHALL CONSTITUTE NOTICE OF A CLAIM OR POTENTIAL CLAIM SO AS TO TRIGGER COVERAGE UNDER ANY CONTRACT OF INSURANCE.

THIS APPLICATION DOES NOT BIND THE APPLICANT TO BUY, OR THE COMPANY TO ISSUE THE INSURANCE, BUT IT IS AGREED THAT THIS FORM SHALL BE THE BASIS OF THE CONTRACT AND SHOULD A POLICY BE ISSUED, IT WILL BE ATTACHED TO AND MADE A PART OF THE POLICY.

THE UNDERSIGNED APPLICANT DECLARES THAT THE STATEMENTS SET FORTH IN THIS APPLICATION ARE TRUE. THE APPLICANT FURTHER DECLARES THAT IF THE INFORMATION SUPPLIED ON THIS APPLICATION CHANGES BETWEEN THE DATE OF THIS APPLICATION AND THE EFFECTIVE DATE OF THE POLICY, SHOULD A POLICY BE ISSUED, THE APPLICANT WILL IMMEDIATELY NOTIFY THE COMPANY OF SUCH CHANGES, AND THE COMPANY MAY WITHDRAW OR MODIFY ANY OUTSTANDING QUOTATIONS AND/OR AUTHORIZATIONS OR AGREEMENT TO BIND THIS INSURANCE.

IF AND WHEN A POLICY IS ISSUED, THIS APPLICATION IS ATTACHED TO AND MADE A PART OF THE POLICY, SO IT IS NECESSARY THAT ALL QUESTIONS BE ANSWERED IN DETAIL. THE APPLICANT HEREBY ACKNOWLEDGES THAT HE/SHE IS AWARE THAT BY SIGNING BELOW WHERE INDICATED, THAT THIS SIGNED STATEMENT WILL BE ATTACHED TO THE POLICY.

NOTICE TO APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR, CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT ACT, WHICH IS A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO ARKANSAS, NEW MEXICO AND WEST VIRGINIA APPLICANTS: ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

NOTICE TO COLORADO APPLICANTS: IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE, AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AUTHORITIES

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO AN INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS IF FALSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT.

NOTICE TO FLORIDA APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY IN THE THIRD DEGREE.

NOTICE TO ILLINOIS APPLICANTS: THE DISCOVERY OF ANY FRAUD, INTENTIONAL CONCEALMENT, OR MISREPRESENTATION OF MATERIAL FACT IN THE POLICY WILL RENDER THIS POLICY, IF ISSUED, VOID AT INCEPTION. THE DISCOVERY OF ANY FRAUD, INTENTIONAL CONCEALMENT, OR MISREPRESENTATION OF A MATERIAL FACT DURING A CLAIM WILL RENDER THIS POLICY, IF ISSUED, CANCELLED.

NOTICE TO KENTUCKY APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME.

NOTICE TO LOUISIANA APPLICANTS: ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

NOTICE TO MAINE APPLICANTS: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS.

NOTICE TO NEW JERSEY APPLICANTS: ANY PERSON WHO INCLUDES ANY FALSE OR MISLEADING INFORMATION ON AN APPLICATION FOR AN INSURANCE POLICY IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO NEW YORK APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

NOTICE TO OHIO APPLICANTS: ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY (365:15-1-10, 36 §3613.1).

NOTICE TO OREGON APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR, CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT ACT, WHICH MAY BE A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO PENNSYLVANIA APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

87126 (12/07)

NOTICE TO TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS.

NOTICE TO VERMONT APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR, CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT ACT, WHICH MAY BE A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

PLEASE READ THE FOLLOWING STATEMENT CAREFULLY AND SIGN BELOW WHERE INDICATED. IF THIS POLICY IS ISSUED, THIS SIGNED STATEMENT WILL BE ATTACHED TO THE POLICY.

The Applicant hereby acknowledges that he/she/it is aware that the limits of insurance contained in this policy shall be reduced, and may be completely exhausted, by the costs of defense expenses which include but are not limited to attorneys fees and, in such event, the insurer shall not be liable for the costs of defense expenses or for the amount of any judgement or settlement to the extent that such exceeds the limits of insurance of this policy.

This Applicant hereby further acknowledges that he/she/it is aware that defense expenses that are incurred shall be applied against the deductible amount, if any.

| Signature of Owner, Partner, Member, Principal, or Offic Authorized to Sign as Applicant Applicant's Printed Name: | |
|---|----|
| Applicant's Printed Name: | ər |
| Title: | |
| Date: | |
| Producer Name: | |
| License #: | |

Company Tracking Number: AIC-07-EO-28

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1019 Professional Errors & Omissions

Liability

Product Name: Architects & Engineers Professional Liability Program

Project Name/Number: /AIC-07-EO-28

Rate Information

Rate data does NOT apply to filing.

Company Tracking Number: AIC-07-EO-28

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1019 Professional Errors & Omissions

Liability

Product Name: Architects & Engineers Professional Liability Program

Project Name/Number: /AIC-07-EO-28

Supporting Document Schedules

Review Status:

Satisfied -Name: Uniform Transmittal Document- Approved 12/31/2007

Property & Casualty

Comments:

Attachment:

12-27-07 AR - PCTD-1 - AE.pdf

Review Status:

Satisfied -Name: Form Listing Approved 12/31/2007

Comments:

Attachment:

Form Listing - A&E.pdf

Property & Casualty Transmittal Document

| 1. | Reserved for Insurance |
|----|------------------------|
| | Dept. Use Only |

| 2. Insurance Department Use only | | | | |
|----------------------------------|---------------------------------------|--|--|--|
| a. Date the filing is received: | | | | |
| b. Analyst: | | | | |
| c. Disposition: | c. Disposition: | | | |
| d. Date of disposition of the f | d. Date of disposition of the filing: | | | |
| e. Effective date of filing: | | | | |
| New Business | | | | |
| Renewal Business | | | | |
| f. State Filing #: | | | | |
| g. SERFF Filing #: | | | | |
| h. Subject Codes | | | | |

| 3. | 3. Group Name | | | | |
|----|---------------------------------|----|-------|------------|---------|
| | 012 | | | | |
| 4. | 4. Company Name(s) | | NAIC# | FEIN# | State # |
| | New Hampshire Insurance Company | PA | 23841 | 02-0172170 | |
| | | | | | |
| | | | | | |
| | | | | | |

AIC-07-EO-28

Contact Info of Filer(s) or Corporate Officer(s) [include toll-free number]

| | | , eee. (e) | , [morado ton moo m | annoon | |
|----|---|-------------------|---------------------|----------------|-----------------------|
| 6. | Name and address | Title | Telephone #s | FAX# | e-mail |
| | Janine Graham 175 Water Street, 17 th Floor New York, New York 10038 | Filing Analyst | (212) 458-7463 | (212) 458-7077 | janine.graham@aig.com |
| 7. | Signature of authorized filer | | | famie | Sha |
| 8. | Please print name of authoriz | ed filer | Janine Graham | 7 | |

Filing information (see General Instructions for descriptions of these fields)

| | • | 1 / | | | | |
|-----|--|--|--|--|--|--|
| 9. | Type of Insurance (TOI) | 17.1000 Other Liability – Claims Made Only | | | | |
| 10. | Sub-Type of Insurance (Sub-TOI) | 17.1019 Professional Errors & Omissions Liability | | | | |
| 11. | State Specific Product code(s)(if | | | | | |
| | applicable)[See State Specific Requirements] | | | | | |
| 12. | Company Program Title (Marketing title) | IArchitect and Engineers Professional Liability Program | | | | |
| 13. | Filing Type | [] Rate/Loss Cost [] Rules [] Rates/Rules | | | | |
| | | [X] Forms [] Combination Rates/Rules/Forms | | | | |
| | | [] Withdrawal[] Other (give description) | | | | |
| | | | | | | |
| 14. | Effective Date(s) Requested | New: January 29, 2008 Renewal: January 29, 2008 | | | | |
| 15. | Reference Filing? | [] Yes [X] No | | | | |
| 16. | Reference Organization (if applicable) | N/A | | | | |
| 17. | Reference Organization # & Title | N/A | | | | |
| 18. | Company's Date of Filing | December 27, 2007 | | | | |
| 19. | Status of filing in domicile | [] Not Filed [X] Pending [] Authorized [] Disapproved | | | | |
| | _ | | | | | |
| | | | | | | |

Property & Casualty Transmittal Document—

20. This filing transmittal is part of Company Tracking # AIC-07-EO-28

21. Filing Description [This area can be used in lieu of a cover letter or filing memorandum and is free-form text]

New Hampshire Insurance Company (the "Company") currently has on file with your Department its Architects and Engineers Professional Liability Program (the "Program"). The Company submits for your review and approval its revised Application for Architects and Engineers Professional Liability Policy (Claims Made Coverage) – Form No. 87126 (12/07) to be used with the Architects and Engineers Professional Liability Policy – Form No. 84002 (2/05).

Please refer to the attached blackline to see revisions made to the previous version.

22. Filing Fees (Filer must provide check # and fee amount if applicable) [If a state requires you to show how you calculated your filing fees, place that calculation below]

Check #: N/A Amount: \$50.00

Refer to each state's checklist for additional state specific requirements or instructions on calculating fees.

***Refer to the each state's checklist for additional state specific requirements (i.e. # of additional copies required, other state specific forms, etc.)

PC TD-1 pg 2 of 2

FORM FILING SCHEDULE

(This form must be provided ONLY when making a filing that includes forms) (Do <u>not</u> refer to the body of the filing for the forms listing, unless allowed by state.)

| 1. | This filing transmittal is part of Company Tracking # | AIC-07-EO-28 |
|----|---|--------------|
| 2. | This filing corresponds to rate/rule filing number (Company tracking number of rate/rule filing, if applicable) | |

| 3. | Form Name /Description/Synopsis | Form # Include edition date | Replacement Or withdrawn? | If replacement, give form # it replaces | Previous state filing number, if required by state |
|----|---|-----------------------------|---|---|--|
| 01 | Application For Architects and Engineers Professional Liability Policy (Claims Made Coverage) | 87126 (12/07) | [] New [X] Replacement [] Withdrawn | | |
| 02 | - | | [] New [] Replacement [] Withdrawn | | |
| 03 | | | [] New [] Replacement [] Withdrawn | | |
| 04 | | | [] New [] Replacement [] Withdrawn | | |
| 05 | | | [] New [] Replacement [] Withdrawn | | |
| 06 | | | [] New [] Replacement [] Withdrawn | | |
| 07 | | | [] New [] Replacement [] Withdrawn | | |
| 08 | | | [] New [] Replacement [] Withdrawn | | |
| 09 | | | [] New [] Replacement [] Withdrawn | | |
| 10 | | | [] New [] Replacement [] Withdrawn | | |

PC FFS-1

| Form Title | Form No. | Form Type | New or Replacement | Form No. Being Replaced | Mandatory or Optional | | Rate or Premium Impact | Description of Form |
|---|---------------|-----------|-----------------------|-------------------------------|--------------------------|---|------------------------------|-----------------------------|
| Application for Architects and Engineers Professional Liability Policy (Claims Made 1 Coverage) | 87126 (12/07) | А | R | 87126 (12/04) | М | С | No | Application for A&E Program |

Yes or No

A = Application

D = Declarations

E = Endorsement

P = Policy O = Other (Please explain)